

**2-11.1 (i) FINANCIAL DISCLOSURE RQO SUMMARY
(2004-2017)**

RQO #	Requester	Holding
04-198	Howard Gould, job applicant for the position of fire inspector for the City of Miami Beach	The County Ethics Code does not require Fire Inspectors, Deputies of the State Fire Marshall, to file Financial Disclosure forms.
07-22	Walter J. Foeman, City Clerk, City of Coral Gables	Based on County Ethics Code section 2-11.1(i) (2), and the job description provided, the Commission on Ethics opined that individuals with the following jobs in the City of Coral Gables must file financial disclosure statements: Chief Plumbing Officer; Chief Electrical Officer; Historic Preservation Officer; Parking Superintendent; Zoning Administrator; Chief Collector; and Deputy Collector.
07-50	Linda Cave, Senior County Commission Clerk, Clerk of the Board of County Commissioners	Pursuant to section 2-11.1(i) of the County Ethics Code, the appointed members of the Climate Control Advisory Task Force (“CCATF”) are required to file financial disclosure as members of an advisory board. However, attendees, such as individuals who provide technical assistance and staff support to the Climate Control Advisory Task Force are not required to file financial disclosure.
08-01	Alain Lesusay, Building Better Communities Board Member	Pursuant to its enabling legislation, Building Better Communities Board Members are required to file financial disclosure.
08-36	David C. Weston, Miami Dade County Municipal Fire Inspector	As previously addressed in RQO 04-198, subsection 2-11.1(i) (2) of the County Ethics Code does not require Fire Inspectors to file financial disclosures.
09-27	Thomas P. Abbott, Assistant County Attorney, Miami-Dade Aviation Department	Pursuant to section 2-10.4 of the Miami-Dade Code, all County contracts for architectural and engineering services (“A/E”) require firms to file financial disclosure information with the Department of Elections. County Ethics Code section 2-11.1(i)(1) also specifies that AE firms must file within 30 days of the award, and file subsequently on an annual basis.
09-40	Christina White, Director, Department of Elections	The Ethics Commission determined the Elections Department should maintain hard copies of financial disclosure documents for ten years. *See RQO 12-08 for updated opinion on this topic.

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09-42	Diane Collins, Acting Division Chief Clerk of the Board of County Commissioners	<p>The following boards are required to file financial disclosure under the County Ethics Code:</p> <ul style="list-style-type: none"> I. All county advisory boards created pursuant to ordinance or resolution whose enabling ordinance states that the board is created to advise the county on a particular subject or area II. All quasi-judicial boards, provided for by county ordinance, whether or not the board is also authorized under state law. III. All other boards, commissions or trusts which are wholly created under county ordinance, staffed by county personnel or county funded. IV. Semi-autonomous boards created by state law, such as the Housing Finance Authority and the Affordable Housing Advisory Board, which make recommendations and awards regarding county programs. V. All boards whose enabling legislation or memorandum of understanding with Miami-Dade County requires the board to abide by the provisions of the Conflict of Interest ordinance. <p>The following boards are not required to file financial disclosure under the Conflict of Interest ordinance:</p> <ul style="list-style-type: none"> I. The Metropolitan Planning Organization and its subordinate boards such as CTAC, BPAC and TARC II. The Children’s Trust III. Miami-Dade Expressway Authority IV. The Planning Advisory Board V. Port of Miami Crane Management VI. Standing Nominating Committees for the Public Health Trust, CITT, MMAP or any other board VII. Special committees of the Public Health Trust such as the Annual Operating Agreement Committee and the Compensation and Evaluation Committee VIII. Independent authorities, whose sole function is provided by state law, such as the Industrial Development Authority, the Health Facilities Authority and the Educational Facilities Authority. IX. Task Forces or Ad-Hoc Committees whose enabling resolution provide for a duration of less than one calendar year.

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10-25	Dan Carlton, Florida Commission on Ethics	Pursuant to the County Ethics Code, non-voting, <i>ex-officio</i> members of the board of trustees of the Public Health Trust are not required to file financial disclosures
11-07	Diane Collins, Acting Division Chief Clerk of the Board of County Commissioners	Members of the youth commission are not required to file financial disclosures, since its members only serve for one-year terms and do not have authority over county matters.
12-08	Penelope Townasley, Supervisor of Elections Miami-Dade County Department of Elections	State law and regulations govern the retention and maintenance of public records. Fla. Admin. Code at Rule 1B-26.003(2011). Since the Elections Department has comported with current state requirements, the Elections Department may disregard an earlier ethics opinion on this subject at RQO-40.
13-01	Shania Moore, Lead Worker, Office Operations Clerk of the Board Division	County advisory board members are required to file financial disclosure statements regardless of whether the board on which they serve has met during the previous calendar year.
13-02	Christina White, Deputy Supervisor of Elections, Miami Dade Elections Department	The Ethics Commission determined that the Elections Department may accept delivery of financial disclosure forms electronically , by fax, email, or other devices compatible with the Department's systems, provided that existing security standards are followed.
16-04	Carolina Lopez, Deputy Supervisor of Elections, Government Affairs Division	The Ethics Commission authorized the development of a Final Source of Income form (SOI-F) to allow the Source of Income statement filers to report their final financial disclosure upon separation from their local public duties.