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**From:** Diaz-Greco, Gilma M. (COE)  
**Sent:** Thursday, June 05, 2014 3:33 PM  
**To:** Sanchez, Rodzandra (COE)  
**Subject:** John Rodriguez, Miami-Dade County International Trade Consortium (Conflict of Interest) INQ 14-136

INQ 14-136

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**From:** Centorino, Joseph (COE)  
**Sent:** Thursday, June 05, 2014 3:23 PM  
**To:** 'john.rodriguez@barclays.com'; Diaz-Greco, Gilma M. (COE)  
**Cc:** Peters, Adam (RER); 'TERESA.FOXX@BARCLAYS.COM'  
**Subject:** INQ- John Rodriguez, Miami-Dade County International Trade Consortium (Conflict of Interest)

Mr. Rodriguez,

The Miami-Dade Commission on Ethics and Public Trust is not in a position to execute the correspondence forwarded to us, inasmuch as we have jurisdiction over enforcing the Miami-Dade County Conflict of Interest and Code of Ethics Ordinance, which would not encompass the full breadth of the certification that is contained in the suggested letter.

The Miami-Dade County International Trade Consortium is a county advisory board whose members are subject to the Code of Ethics Ordinance. You are permitted to serve on the board, provided that you do not have a prohibited conflict of interest under Section 2-11.1 of the Miami-Dade Code. Section 2-11.1(c)(3) of the Code would prevent you from contracting with any agency or department of Miami-Dade County subject to the regulation, oversight, management, policy-setting or quasi-judicial authority of the board in question. Section 2-11.1(j) would prohibit you from having employment which would impair your independence of judgment in the performance of your public duties. Further, Section 2-11.1(l) would prohibit you from having personal investments in any enterprise, either yourself or through a member of your immediate family that would create a substantial conflict between your private interests and the public interest. Assuming you avoid these types of conflicting interest, there should be no impediment to your serving on the board.

As a board member you would have an obligation to abide by Section 2-11.1(v) and to avoid voting or participating on a matter if you had a conflict of interest on a particular matter coming before the board.

I would be happy to further discuss this with you or with Barclay counsel.

Joseph M. Centorino

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**From:** Thompson, Robert A. (COE)  
**Sent:** Tuesday, June 03, 2014 11:17 AM  
**To:** Diaz-Greco, Gilma M. (COE)  
**Cc:** Centorino, Joseph (COE); Peters, Adam (RER); 'TERESA.FOXX@BARCLAYS.COM'  
**Subject:** FW: Miami-Dade County International Trade Consortium - John Rodriguez

Greetings,

Please see e-mail below from the ITC.

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**From:** Peters, Adam (RER)  
**Sent:** Tuesday, June 03, 2014 10:54 AM  
**To:** 'john.rodriquez@barclays.com'  
**Cc:** [teresa.foxx@barclays.com](mailto:teresa.foxx@barclays.com); Thompson, Robert A. (COE)  
**Subject:** RE: Miami-Dade County International Trade Consortium - John Rodriguez

Hi John, thank you for the latest.

Mr. Thompson, good morning, sir! Who at COE would be available for a conference call with Mr. Tufo at Barclays to discuss providing to Mr. Rodriguez a document from Miami-Dade County that would allow him to participate on the International Trade Consortium Board of Directors?

My thanks to you both, and we look forward to having the matter resolved soon so that John can again participate on our Board.

Best regards,

Adam Peters  
Trade Development Specialist  
Economic Development and International Trade Unit  
Department of Regulatory and Economic Resources  
111 NW 1st Street Suite 1900  
Miami FL 33128  
Office 305 375-5420  
Fax 305 679-7895  
[apeters@miamidade.gov](mailto:apeters@miamidade.gov)  
[www.miamidade.gov/business](http://www.miamidade.gov/business)

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**From:** [john.rodriquez@barclays.com](mailto:john.rodriquez@barclays.com) [<mailto:john.rodriquez@barclays.com>]  
**Sent:** Friday, May 30, 2014 12:39 PM  
**To:** Peters, Adam (RER)  
**Cc:** [teresa.foxx@barclays.com](mailto:teresa.foxx@barclays.com)  
**Subject:** RE: Miami-Dade County International Trade Consortium - John Rodriguez

Hi Adam,

Unfortunately not. IS there a way that I can set up a conference call with our internal legal contact Steven Tufo listed below and your representative to discuss and hopefully complete this. I am still very interested in participating in the ITC Board, and hope to make the next one.

Much appreciated,

John

John Rodriguez | FIG, Corporate Banking | Director and Regional Head of FI Coverage  
Latin America, Caribbean and Global Multilateral Agencies Coordinator  
Global Financial Institutions - Americas  
Tel + 1 305 982 4454 | Mobile + 1 646 574 3740 | [john.rodriguez@barclays.com](mailto:john.rodriguez@barclays.com)  
Barclays Bank PLC | 1111 Brickell Avenue, 12th floor | Miami, Florida 33131  
[www.Barclays.com](http://www.Barclays.com)



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**From:** Peters, Adam (RER) [<mailto:apeters@miamidade.gov>]  
**Sent:** Tuesday, May 27, 2014 12:31 PM  
**To:** Rodriguez, John: Barclays PLC (MIA)  
**Subject:** RE: Miami-Dade County International Trade Consortium - John Rodriguez

Hi John, I hope that you are well. I just wanted to check in with you to see if the folks that I put you in touch with were able to resolve this issue to your satisfaction. Did you get the letter that you needed? Please let me know.

All the best,  
Adam

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**From:** [john.rodriguez@barclays.com](mailto:john.rodriguez@barclays.com) [<mailto:john.rodriguez@barclays.com>]  
**Sent:** Tuesday, January 28, 2014 3:51 PM  
**To:** Peters, Adam (RER)  
**Subject:** FW: Miami-Dade County International Trade Consortium - John Rodriguez

To Mr. Adam Peters  
Trade Development Specialist  
Economic Development and International Trade Unit  
Department of Regulatory and Economic Resources

Good afternoon Mr. Peters,

I look forward to serving on the ITC Committee this year. However, I became aware that even though I am representing FIBA as a Past President, my employer requires that I submit the attached Outside Affiliation Service Template to be completed by an authorized officer of the ITC, as per the instruction below. Can you please assist me with this request if you or an authorized representative could complete this brief form, and return to the original to my attention. I hope that we can have this resolved before the next quarterly Board meeting, and thank you again for your consideration to include me in advisory Board. Please let me know if you have any questions.

Best regards,

John

John Rodriguez | Corporate Bank Origination | Regional Head of FI Coverage - Latin America and Caribbean  
Global Financial Institutions  
Tel + 1 305 982 4454 | Mobile + 1 646 574 3740 | [john.rodriguez@barclays.com](mailto:john.rodriguez@barclays.com)  
Barclays Bank PLC | 1111 Brickell Avenue, 12th floor | Miami, Florida 33131  
[www.Barclays.com](http://www.Barclays.com)



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**From:** Tufo, Steven: Compliance (NYK)  
**Sent:** Tuesday, January 14, 2014 8:39 AM  
**To:** Rodriguez, John: Barclays PLC (MIA)  
**Subject:** Miami-Dade County International Trade Consortium Advisory Board assignment within existing FIBA Past President role

John,

I am currently reviewing your Outside Affiliation request with respect to the Miami-Dade International Consortium. As this is a government related entity, we will need you to complete the template no conflict letter attached. Please fill in your contact information and request that an authorized officer from the Miami-Dade County International Trade Consortium sign on the entity's letterhead. If you or the entity's counsel has any questions, please contact either Lauren Casey or Spencer Hawes in Political Law Compliance.

Regards,  
Steve



Steven A. Tufo | AVP | Central Compliance – Personal Investments  
Tel +1 212 412 2690 | Fax 646 758 6325 | Email [steven.tufo@barclays.com](mailto:steven.tufo@barclays.com)  
Barclays, 200 Park Avenue 27th Floor, New York, NY 10166  
Barclays.com  
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**From:** David Schwartz [<mailto:david@fiba.net>]  
**Sent:** Wednesday, September 11, 2013 2:50 PM  
**To:** Rodriguez, John: Barclays PLC (MIA)  
**Subject:** FW: Miami-Dade County International Trade Consortium

FYI

David Schwartz  
CEO  
FIBA  
80 SW 8th Street, Suite 2505  
Miami, FL 33130  
Tel: (305) 579-0086  
[www.fiba.net](http://www.fiba.net)

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**From:** Peters, Adam (RER) [<mailto:apeters@miamidadegov>]  
**Sent:** Wednesday, September 11, 2013 9:54 AM

**To:** David Schwartz  
**Subject:** RE: Miami-Dade County International Trade Consortium

Mr. Schwartz:

Thank you for your note, and for sending the appointment letter, we look forward to Mr. Rodriguez' participation on the ITC Board.

Best regards,

Adam Peters  
Trade Development Specialist  
Economic Development and International Trade Unit  
Department of Regulatory and Economic Resources  
111 NW 1st Street Suite 1900  
Miami FL 33128  
Office 305 375-5420  
Fax 305 679-7895  
[apeters@miamidade.gov](mailto:apeters@miamidade.gov)  
[www.miamidade.gov/OEDIT](http://www.miamidade.gov/OEDIT)

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**From:** David Schwartz [<mailto:david@fiba.net>]  
**Sent:** Tuesday, September 10, 2013 11:52 AM  
**To:** Peters, Adam (RER)  
**Subject:** RE: Miami-Dade County International Trade Consortium

Mr. Peters,

I have prepared the letter and it is being mailed out today. FIBA is pleased to continue to serve on the ITC Board and we look forward to continuing our relationship.

Regards,

David Schwartz  
CEO  
**FIBA**  
80 SW 8th Street, Suite 2505  
Miami, FL 33130  
Tel: (305) 579-0086  
[www.fiba.net](http://www.fiba.net)

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**From:** Peters, Adam (RER) [<mailto:apeters@miamidade.gov>]  
**Sent:** Friday, September 06, 2013 3:32 PM  
**To:** David Schwartz  
**Cc:** 'john.rodriguez@barclays.com'  
**Subject:** Miami-Dade County International Trade Consortium

Dear Mr. Schwartz:

Hello and greetings from Miami-Dade County.

I am the Board secretary for the County's International Trade Consortium Board of Directors, and my understanding is that FIBA would like to appoint Mr. John Rodriguez to represent FIBA on the ITC Board. To make the appointment, please send me a letter on the FIBA letterhead signed by you with an effective date for Mr. Rodriguez' two year term. I will then provide a copy to the County Clerk, and everything will be in order.

We are delighted to again have a FIBA representative on our Board, and I am sure that Mr. Rodriguez will contribute much to the ITC and to the Board's deliberations. Thank you in advance, and if I can be of any help to you or answer any questions, please contact me by telephone or email. Thank you again.

Best regards,

Adam Peters  
Trade Development Specialist  
Economic Development and International Trade Unit  
Department of Regulatory and Economic Resources  
111 NW 1st Street Suite 1900  
Miami FL 33128  
Office 305 375-5420  
Fax 305 679-7895  
[apeters@miamidade.gov](mailto:apeters@miamidade.gov)  
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