

## Sanchez, Rodzandra (COE)

---

**From:** Diaz-Greco, Gilma M. (COE)  
**Sent:** Friday, May 11, 2018 2:28 PM  
**To:** Sanchez, Rodzandra (COE)  
**Subject:** Sydney Elizabeth Herrera, AUM Construction, Inc. (Lobbying (s)) INQ 18-117

INQ 18-117 Herrera

---

**From:** Diaz-Greco, Gilma M. (COE)  
**Sent:** Friday, May 11, 2018 2:28 PM  
**To:** 'Humberto Ortiz' <ho@auminc.us>; 'seh@auminc.us' <seh@auminc.us>  
**Cc:** Centorino, Joseph (COE) <Joseph.Centorino@miamidade.gov>; Murawski, Michael P. (COE) <Michael.Murawski@miamidade.gov>; Perez, Martha D. (COE) <Martha.Perez2@miamidade.gov>; Turay, Radia (COE) <Radia.Turay@miamidade.gov>  
**Subject:** Sydney Elizabeth Herrera, AUM Construction, Inc. (Lobbying (s)) INQ 18-117

Dear Ms. Herrera:

You have inquired about lobbyist registration requirements under the County Ethics Code for the principal of a company that is lobbying Miami-Dade County on behalf of his own company.

As we discussed over the phone, the County Ethics code requires principals of companies to register when they are lobbying **on behalf of their own company** but exempt such principals from paying the County lobbyist registration fee of \$490.00 per year. Miami-Dade Code §2-11.1(s)(3)(b). However, the principal would be required to take the lobbyist training course and pay the \$100.00 training fee. Miami-Dade Code § 2-11.1(s)(2)(d).

Please contact me at (305) 350-0638 if you have any further questions.

Cordially,

Gilma (Mimi) Diaz-Greco  
Staff Attorney



Miami-Dade Commission on Ethics and Public Trust  
19 W. Flagler Street, Suite 820  
Miami, FL 33130  
Tel: (305) 579-2594  
Fax: (305) 579-0273  
[gdiazgr@miamidade.gov](mailto:gdiazgr@miamidade.gov)  
[www.facebook.com/MiamiDadeEthics](http://www.facebook.com/MiamiDadeEthics)

---

**From:** Humberto Ortiz [<mailto:ho@auminc.us>]  
**Sent:** Friday, May 11, 2018 11:46 AM  
**To:** Sanchez, Rodzandra (COE) <[Rodzandra.Sanchez@miamidade.gov](mailto:Rodzandra.Sanchez@miamidade.gov)>

**Cc:** Diaz-Greco, Gilma M. (COE) <[Gilma.Diaz-Greco@miamidade.gov](mailto:Gilma.Diaz-Greco@miamidade.gov)>

**Subject:** RE: Confirmation Needed: Lobbyist Training (April 13, 2018)

This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected emails. Please click here if this is a suspicious message [reportspam@miamidade.gov](mailto:reportspam@miamidade.gov) **Enterprise Security Office**

---

Thank you!

Have a nice weekend,

*Sydney Elizabeth Herrera*

AUM Construction, Inc.

Dade: 305-456-4848

Broward: 954-251-2455

Palm Beach: 561-475-2234

Fax: 786-558-7422

Email: [seh@auminc.us](mailto:seh@auminc.us)

---

**From:** Sanchez, Rodzandra (COE) [<mailto:Rodzandra.Sanchez@miamidade.gov>]

**Sent:** Friday, May 11, 2018 11:44 AM

**To:** Humberto Ortiz <[ho@auminc.us](mailto:ho@auminc.us)>

**Cc:** Diaz-Greco, Gilma M. (COE) <[Gilma.Diaz-Greco@miamidade.gov](mailto:Gilma.Diaz-Greco@miamidade.gov)>

**Subject:** RE: Confirmation Needed: Lobbyist Training (April 13, 2018)

I am cc: Ms. Diaz-Greco, our Staff Attorney that will answer your question.

Ty....

*Rodzandra Sanchez, MPA*

*Administrative Officer*

*Miami Dade Commission on Ethics and Public Trust*

19 West Flagler Street

Suite 820

Miami, Florida 33130

(305) 350-0655

---

**From:** Humberto Ortiz [<mailto:ho@auminc.us>]

**Sent:** Friday, May 11, 2018 11:27 AM

**To:** Sanchez, Rodzandra (COE) <[Rodzandra.Sanchez@miamidade.gov](mailto:Rodzandra.Sanchez@miamidade.gov)>

**Cc:** Humberto Ortiz <[ho@auminc.us](mailto:ho@auminc.us)>

**Subject:** RE: Confirmation Needed: Lobbyist Training (April 13, 2018)

This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected emails. Please click here if this is a suspicious message [reportspam@miamidade.gov](mailto:reportspam@miamidade.gov) **Enterprise Security Office**

---

Thanks!

Rodzandra question: Humberto told me that he wasn't supposed to pay if he is the president and lobbying for his own company. Can you please clarify that?

Thanks,

*Sydney Elizabeth Herrera*

AUM Construction, Inc.

Dade: 305-456-4848

Broward: 954-251-2455

Palm Beach: 561-475-2234

Fax:786-558-7422

Email: [seh@auminc.us](mailto:seh@auminc.us)

---

**From:** Sanchez, Rodzandra (COE) [<mailto:Rodzandra.Sanchez@miamidade.gov>]

**Sent:** Friday, May 11, 2018 11:20 AM

**To:** Humberto Ortiz <[ho@auminc.us](mailto:ho@auminc.us)>

**Subject:** RE: Confirmation Needed: Lobbyist Training (April 13, 2018)

You're in..

---

**From:** Humberto Ortiz [<mailto:ho@auminc.us>]

**Sent:** Friday, May 11, 2018 8:57 AM

**To:** Sanchez, Rodzandra (COE) <[Rodzandra.Sanchez@miamidade.gov](mailto:Rodzandra.Sanchez@miamidade.gov)>; Murawski, Michael P. (COE) <[Michael.Murawski@miamidade.gov](mailto:Michael.Murawski@miamidade.gov)>; Sidney Herrera <[seh@auminc.us](mailto:seh@auminc.us)>

**Cc:** Sibilia, Rhonda (COE) <[Rhonda.Sibilia@miamidade.gov](mailto:Rhonda.Sibilia@miamidade.gov)>; Blanca Fernandez <[blanca@lasartelaw.com](mailto:blanca@lasartelaw.com)>; Humberto Ortiz <[ho@auminc.us](mailto:ho@auminc.us)>

**Subject:** RE: Confirmation Needed: Lobbyist Training (April 13, 2018)

This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected emails. Please click here if this is a suspicious message [reportspam@miamidade.gov](mailto:reportspam@miamidade.gov) **Enterprise Security Office**

---

Rodzandra,

I just left you a voicemail please call me asap.

Thanks,

*Sydney Elizabeth Herrera*

AUM Construction, Inc.

Dade: 305-456-4848

Broward: 954-251-2455

Palm Beach: 561-475-2234

Fax:786-558-7422

Email: [seh@auminc.us](mailto:seh@auminc.us)

---

**From:** Sanchez, Rodzandra (COE) [<mailto:Rodzandra.Sanchez@miamidade.gov>]  
**Sent:** Friday, April 13, 2018 4:01 PM  
**To:** Humberto Ortiz <[ho@auminc.us](mailto:ho@auminc.us)>; Murawski, Michael P. (COE) <[Michael.Murawski@miamidade.gov](mailto:Michael.Murawski@miamidade.gov)>; Sidney Herrera <[seh@auminc.us](mailto:seh@auminc.us)>  
**Cc:** Sibia, Rhonda (COE) <[Rhonda.Sibia@miamidade.gov](mailto:Rhonda.Sibia@miamidade.gov)>; Blanca Fernandez <[blanca@lasartelaw.com](mailto:blanca@lasartelaw.com)>  
**Subject:** RE: Confirmation Needed: Lobbyist Training (April 13, 2018)

Our office is located at 19 West Flagler Street, Suite 820 (Downtown Miami)  
Training starts promptly at 9 am... last up to 1 pm.

Please have Blanca send a new form with the corrected date of May 11 for the training.

Enjoy your weekend.

Ty, thank you!!

*Rodzandra Sanchez, MP/A  
Administrative Officer  
Miami Dade Commission on Ethics and Public Trust  
19 West Flagler Street  
Suite 820  
Miami, Florida 33130  
(305) 350-0655*

---

**From:** Humberto Ortiz [<mailto:ho@auminc.us>]  
**Sent:** Friday, April 13, 2018 12:38 PM  
**To:** Murawski, Michael P. (COE) <[Michael.Murawski@miamidade.gov](mailto:Michael.Murawski@miamidade.gov)>; Sidney Herrera <[seh@auminc.us](mailto:seh@auminc.us)>  
**Cc:** Sanchez, Rodzandra (COE) <[Rodzandra.Sanchez@miamidade.gov](mailto:Rodzandra.Sanchez@miamidade.gov)>; Sibia, Rhonda (COE) <[Rhonda.Sibia@miamidade.gov](mailto:Rhonda.Sibia@miamidade.gov)>  
**Subject:** RE: Confirmation Needed: Lobbyist Training (April 13, 2018)

This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected emails. Please click here if this is a suspicious message [reportspam@miamidade.gov](mailto:reportspam@miamidade.gov) **Enterprise Security Office**

---

Ok May 11<sup>th</sup> at 9am he will be there.  
Thanks for advising on this situation.

*Sydney Elizabeth Herrera*  
AUM Construction, Inc.  
Dade: 305-456-4848  
Broward: 954-251-2455  
Palm Beach: 561-475-2234  
Fax: 786-558-7422  
Email: [seh@auminc.us](mailto:seh@auminc.us)

---

**From:** Murawski, Michael P. (COE) [<mailto:Michael.Murawski@miamidade.gov>]  
**Sent:** Friday, April 13, 2018 12:31 PM  
**To:** Humberto Ortiz <[ho@auminc.us](mailto:ho@auminc.us)>; Sidney Herrera <[seh@auminc.us](mailto:seh@auminc.us)>  
**Cc:** Sanchez, Rodzandra (COE) <[Rodzandra.Sanchez@miamidade.gov](mailto:Rodzandra.Sanchez@miamidade.gov)>; Sibia, Rhonda (COE) <[Rhonda.Sibia@miamidade.gov](mailto:Rhonda.Sibia@miamidade.gov)>  
**Subject:** FW: Confirmation Needed: Lobbyist Training (April 13, 2018)  
**Importance:** High

Dear Ms. Herrera and Mr. Ortiz:

It appears from my review that Mr. Ortiz is already in violation of the Ethics Code for unregistered lobbying. Pursuant to section 2-11.1(s)(2)(d)... "Each lobbyist *shall* within 60 days after registering as a lobbyist, submit to the Clerk of the Board a certificate of completion of an ethics course offered by the Miami-Dade County Commission on Ethics and Public Trust..."

I am advised that the next scheduled class is May 11, 2018 but is near if not at capacity. I have copied Rhonda Sibia on this e-mail to see if she can make space for him at the May training session but he must attend. Failure to do so will result in an ethics complaint being filed against Mr. Ortiz which penalties will include a \$500 fine and a suspension from lobbying activities.

Sincerely,

Michael Murawski  
Ethics Advocate

---

**From:** Humberto Ortiz [<mailto:ho@auminc.us>]  
**Sent:** Friday, April 13, 2018 8:30 AM  
**To:** Sanchez, Rodzandra (COE) <[Rodzandra.Sanchez@miamidade.gov](mailto:Rodzandra.Sanchez@miamidade.gov)>  
**Cc:** Humberto Ortiz <[ho@auminc.us](mailto:ho@auminc.us)>; Juan Mario Aracil <[jma@auminc.us](mailto:jma@auminc.us)>; Blanca Fernandez <[blanca@lasartelaw.com](mailto:blanca@lasartelaw.com)>  
**Subject:** RE: Confirmation Needed: Lobbyist Training (April 13, 2018)

This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected emails. Please click here if this is a suspicious message [reportspam@miamidade.gov](mailto:reportspam@miamidade.gov) **Enterprise Security Office**

---

Good Morning Rodzandra,

Is there any way that Humberto may get a refund for this class?

Please advise.

Do not hesitate to contact me or him directly 305-345-5347 if any questions or concerns.

Thank you,

*Sydney Elizabeth Herrera*

AUM Construction, Inc.

Dade: 305-456-4848

Broward: 954-251-2455

Palm Beach: 561-475-2234

Fax: 786-558-7422

Email: [seh@auminc.us](mailto:seh@auminc.us)

---

**From:** Sanchez, Rodzandra (COE) [<mailto:Rodzandra.Sanchez@miamidade.gov>]

**Sent:** Thursday, April 05, 2018 3:15 PM

**Subject:** Confirmation Needed: Lobbyist Training (April 13, 2018)

Good Afternoon Lobbyist,

This email is to confirm your attendance for the upcoming Lobbyist Training on April 13, 2018.

Please confirm if you plan to attend or need to schedule for another upcoming date.

Ty.. thank you!!

*Rodzandra Sanchez, MPA*

*Administrative Officer*

*Miami Dade Commission on Ethics and Public Trust*

19 West Flagler Street

Suite 820

Miami, Florida 33130

(305) 350-0655

---

**Subject:** Confirmation: Lobbyist Training (April 13, 2018)

## **CONFIRMED**

This email serves to confirm that you are registered and confirmed to attend **April 13, 2018** Lobbyist training.

Address: 19 West Flagler Street, Suite 820

Please make sure to arrive at 8:30 for continental breakfast.

The training starts at 9 am.

Keep in mind that if you arrive after 9, you will not be allowed in the training.