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IN2003-47

Sanchez, Rodzandra (COE)

From: Murawski, Michael (COE)
Sent: Thursday, June 05, 2003 4:37 PM
To: Sanchez, Rodzandra (COE)
Subject: FW: lobbyist registration

Rod: Please come and see me so I can give you the memo I was sent and you can log this in per Robert's instruction Thanks!

-----Original Message-----

From: Meyers, Robert (COE)
Sent: Thursday, June 05, 2003 4:16 PM
To: Murawski, Michael (COE)
Subject: RE: lobbyist registration

Mike,

Please give Rodzandra a copy of the memo Braun sent you and your reply in order for Rodzandra to log the request in as an inquiry.

Thanks,

Robert

-----Original Message-----

From: Murawski, Michael (COE)
Sent: Thursday, June 05, 2003 3:11 PM
To: 'howard.braun@RaymondJames.com'
Cc: Meyers, Robert (COE); Walker, Ardyth (COE)
Subject: lobbyist registration

Dear Mr. Braun:

I am in receipt of your June 5, 2003 memo describing the relationship between Raymond James and Miami-Dade County.

Based upon the information you provide in the memo and after discussion with our Executive Director and Staff General Counsel we do not believe that you need to register with the County as lobbyists. If you have any other questions feel free to call me at 305.579.2594.

RAYMOND JAMES

FAX COVER SHEET

TO: Mike Muraski FAX NO. 305/579-0273

DATE: 6/5/03

FROM: Howard Braun PHONE NO: _____

FAX NO: _____

NUMBER OF PAGES INCLUDING COVER SHEET: 2

MESSAGE:

IF YOU HAVE PROBLEMS RECEIVING THIS FAX, PLEASE CALL 727-567-2868

RAYMOND JAMES & ASSOCIATES, INC.
 PUBLIC FINANCE DEPARTMENT
 880 CARILLON PARKWAY - TOWER III
 ST. PETERSBURG, FLORIDA 33716
 727-567-2868

MEMORANDUM

JND OB-47

To: Mike Muraski
From: Howard Braun
Date: June 5, 2003
Subject: Interpretation of the new Lobbyist Registration Ordinance

This memo is a follow-up to our recent telephone conversation in which I sought to determine whether or not our activities were subject to the County's definition of lobbying activities and whether or not it was necessary for us to register as lobbyists. As I noted when we spoke, Raymond James is an investment banking firm with an active involvement in the field of municipal finance. As such, we provide underwriting services for municipal bond issues.

In 2000, the County solicited proposals for firms to be included in their municipal bond underwriting pool. Raymond James was successful in being reappointed to the pool. The County uses a comprehensive formula for to determine the underwriting team for their various financings. The senior management position is normally assigned on a rotation basis. It is our understanding that the County might make an exception to their normal rotation scheme in the event a particularly unique idea was brought to their attention.

Our meetings with the County staff are normally held to:

- Maintain on-going contact with County staff;
- Work on a financing that we have been assigned to;
- Introduce new staff members assigned to work on the County's projects; and
- Introduce new financing ideas which, as noted above, might result in our being involved in a financing outside the normal rotation.

On the basis of the fact pattern provided above, we would appreciate your review and determination of whether we need to register with the County as lobbyists. I can be reached at 1-800-248-8863 ext. 71288 if you would like to discuss this further.

HOWARD BRAUN

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