Frigo, Victoria (COE)

From:	Frigo, Victoria (COE)
Sent:	Tuesday, July 16, 2013 12:01 PM
То:	'tpepe@southmiamifl.gov'
Cc:	Centorino, Joseph (COE); Diaz-Greco, Gilma M. (COE)
Subject:	Lobbyist Registration (INQ 13-174)

Mr. Pepe,

In the scenario you presented, the person who *represents* an individual who is seeking to encourage the passage or denial of an application, where the representative is *not* being compensated in cash or in kind, *is nevertheless required to register as a lobbyist*, as per the County Code at Secs. 2-11.1 (s)(1)(b) and (s)(2).

The *representative* cannot rely on the exception to registering under the County Code at Sec. 2-11.1 (s)(3)(b) because that exception is for the person herself who is appearing in her individual capacity, not the person's *representative*.

You must employ the County's lobbying ordinance, not the City's, because the County's lobbying ordinance is stricter (*i.e.*, captures more individuals within its definition) than the City's. Specifically, the County Code at Sec. 2-11.1 (s)(1)(b) includes within the definition of "lobbyist" anyone "employed or retained by a principal." Absent from the County's definition is the requirement that *the lobbyist be compensated*. On the other hand, the City of South Miami Code at Sec. 8A-5 (b)(1) relaxes the definition of lobbyist to only those employed or retained by a principal "for financial compensation, which includes non-monetary compensation of similar value...."

Because you are not able to use the City's definition of lobbyist, you cannot rely on the City exception found at Sec. 8A-5 (b)(1)c—"Lobbyist' shall exclude any person who only appears as a representative of those identified in subsection (e)."

Please feel free to contact me if I can be of further assistance.

Sincerely,

VICTORIA FRIGO, SENIOR STAFF ATTORNEY

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From: Pepe, Thomas F. [mailto:TPepe@southmiamifl.gov]
Sent: Friday, July 12, 2013 10:32 AM
To: Frigo, Victoria (COE)
Cc: Menendez, Maria M.; Brimo, Christopher
Subject: Lobbyist - Request for ethic's opinion

The City of South Miami has a lobbyist ordinance. It is my opinion that, under the City's ethic's code, a representative of the individual who is individually seeking to encourage the passage or denial of an application where the representative is not being compensated in cash or in kind, would not be required to register. However, the County's ethics code on lobbying is stricter in many respects than the City's ordinance. Under the County code of ethics, would a representative of an individual under the forgoing circumstances, be required to register?

The following is the basis of my opinion and the basis for it as to the City's ordinance:

If he was "...employed or retained, **for financial compensation**, which includes **non-monetary** compensation **of similar value**..." he would need to register. However if he is a representative of the individual who is seeking to encourage the passage or denial of something, and he is not being paid in cash or in kind, then he would fall under the following exception:

"Lobbyist" shall **exclude** any person who only appears as a **representative** of those identified in **subsection** (e).

(e) *Exceptions to registration*. The following persons will be **exempt** from the provisions of this section:

(1) Any person who only appears in his or her individual capacity at a public hearing before the city commission, board, committee, agency meeting, and has no other communication with the city personnel, for the purpose of self-representation without compensation or reimbursement, whether direct, indirect, or contingent, to express support of or opposition to any item, shall not be required to register

Thank you.

Very truly yours,

Thomas F. Pepe

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